Reg-X Innovations

RegAssure AI Back Reporting

Intelligent Regulatory Remediation & Reconstruction

Reg-X

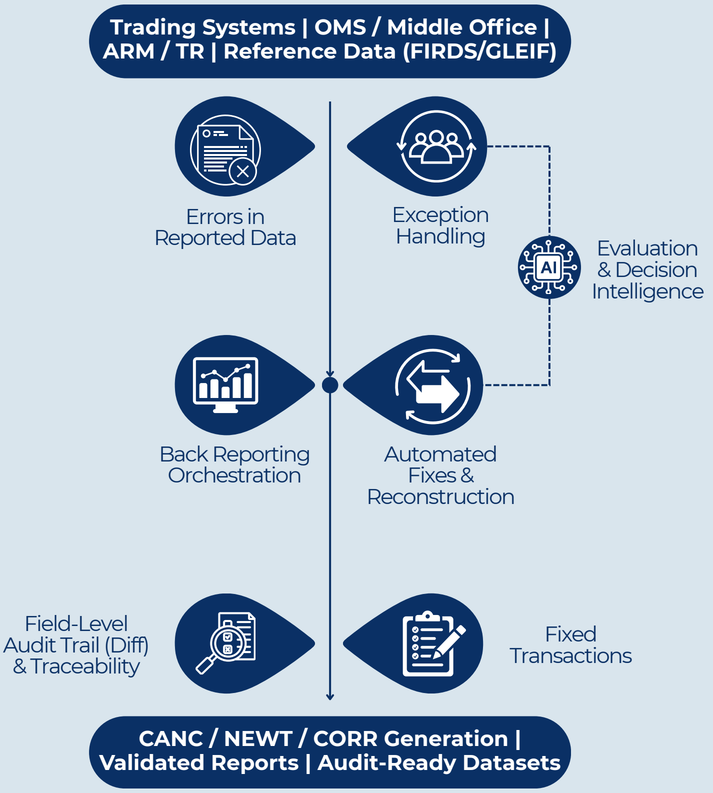
Reg-X RegAssure AI Back Reporting

Intelligent orchestration for automated, scalable regulatory data reconstruction.

Regulatory Requires firms to*

- Cancel transactions reported with errors
- Cancel over reported transactions
- Backreport corrected transactions
- Backreport under reported transactions

*MiFIR transaction reporting - FCA errors and omissions form



- **On-Demand Reporting**
- **Regulatory Traceability**
- **AI-Driven Automation**
- **Consistent Outputs**

Single transaction view with AI-driven reconstruction, generating CANC and NEWT outputs for submission to ARM

Automated handling of over, mis, and under reporting using AI-driven reconstruction logic

Field-level tracking of all changes with complete audit trail across original and remediated transactions

AI-driven rules library enabling fast configuration and delivery of client-specific regulatory rules

Cloud-based platform operating 24/7, supporting high volumes across asset classes and regulatory regimes

Secure analytics layer enabling rapid reconstruction and validation of large volumes of historical data

Supported Regulations

- MiFID II Transaction Reporting
- SEC SBSR Reporting
- SFTR TRADE Reporting
- CFTC Reporting
- MiFID II TRADE Reporting
- EMIR TRADE Reporting
- AIFMD Annex IV Reporting
- REMIT II Compliance
- MAS Trade Reporting
- FinFrag Reporting
- PPA Reporting
- ASIC Trade Reporting
- S. Africa - OTC Derivatives

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