TRAction

TRADE REPORTING SIMPLIFIED

Trade Reporting Simplified

London | Sydney | Singapore | Cyprus

www.tractionfintech.com

Why TRAction?

How We Work?

TRAction specialises in regulatory trade and transaction reporting across Europe and Asia Pacific

TRAction acts as an intermediary between regulated financial firms and licensed Trade Repositories (TR) and/or Approved Reporting Mechanisms (ARM). We are committed to simplifying and enhancing our clients' reporting processes with the majority of our resources invested in R&D and innovation. This enables us to ensure our trade reporting services are consistently improving across regulatory regimes globally.

How Is TRAction Different?

There are many firms that assist with trade and transaction reporting, some provide software for data extraction and conversion, some offer consultancy on reporting regulations and others act as an agent reporting your trades.

TRAction is different. As a delegated service provider, acting as an intermediary between regulated financial firms and licensed Trade Repositories (TRs) and Approved Reporting Mechanisms (ARMs), TRAction provides data extraction and conversion, reporting guidance and reports trades on clients behalf. Our complete end-to-end solution not only assists you with all aspects of regulatory reporting, but also saves you significant costs. TRAction only charges for your transaction reporting. There are no additional fees for software, setup or consulting.

TRAction's Services

Data extraction, conversion, & enrichment

Using direct feeds from trading platforms and back-office systems to extract, convert and enrich trade data. This ensures your data is in the most accurate format and complete prior to submission to a Trade Repository (TR) or Approved Reporting Mechanism (ARM).

Reporting trades on your behalf

We submit the required reporting to the TR/ARM for you. Our team receives any submission errors and acknowledgements, enabling them to manage and rectify any exceptions in your trade data in a timely and effective manner.

Reporting Guidance

We help our client understand their compliance obligations, provide regulatory industry insights, updates and provide clients with advice and support where necessary.

Direct Platform Integrations

TRAction's ability to integrate with any trading platform that your firm is using makes the transition to TRAction easy. Helping ensure you comply with your reporting obligation whilst also simplifying trade reporting is at the core of our business.

Reporting Regimes

Reporting requirements vary by jurisdiction. You may be required to report the following information to regulatory bodies:

- Counterparties/ Legal Entity Identifier (LEI)
- Type of financial instrument
- Transaction and product identifiers
- Any intra-day modifications

EMIR

All counterparties are required to report details of any derivative contract they have entered, modified, or terminated to a registered TR on a T+1 basis.

MiFIR / MiFID II

All investment firms are required to report specified transactions in financial instruments where they underlying instrument is traded on an EEA trading venue to an ARM, on a T+1 basis.

SFTR

EU financial and non-financial counterparties (excluding small NFC-) and UK FCs are required to report details of securities financing transactions (SFTs) such as repos, securities lending, and margin lending to an authorised trade repository (TR) on a T+1 basis.

BEST EXECUTION

Best Execution requires investment firms and financial institutions to provide the best available terms for the execution of client orders under MiFID II and MAS regimes.

AUSTRALIA (ASIC)

Australian entities dealing in OTC derivatives must report all trades and open positions on a T+2 basis. Reporting must be submitted to a licensed Australian Derivative Trade Repository (ADTR).

SINGAPORE (MAS)

The Monetary Authority of Singapore (MAS) requires parties to a Specified Derivative Contract (SDC) to report to a licensed Trade Repository on a T+2 basis.

CANADA

Derivative trade reporting in Canada is a single-sided reporting regime that requires OTC trades across all asset classes to be reported where they involve a local counterparty, to a designated TR. Canada's TR Rules outline a hierarchy for determining which counterparty will be required to report a transaction based on the counterparty to the transaction which is best suited to fulfil the obligation.

CFTC

U.S. entities dealing in swaps are required to report transactions to a registered Swap Data Repository (SDR) under the rules set by the Commodity Futures Trading Commission. Reporting follows a single-sided regime.

TRAction is trusted by 800+ firms to report their trades.

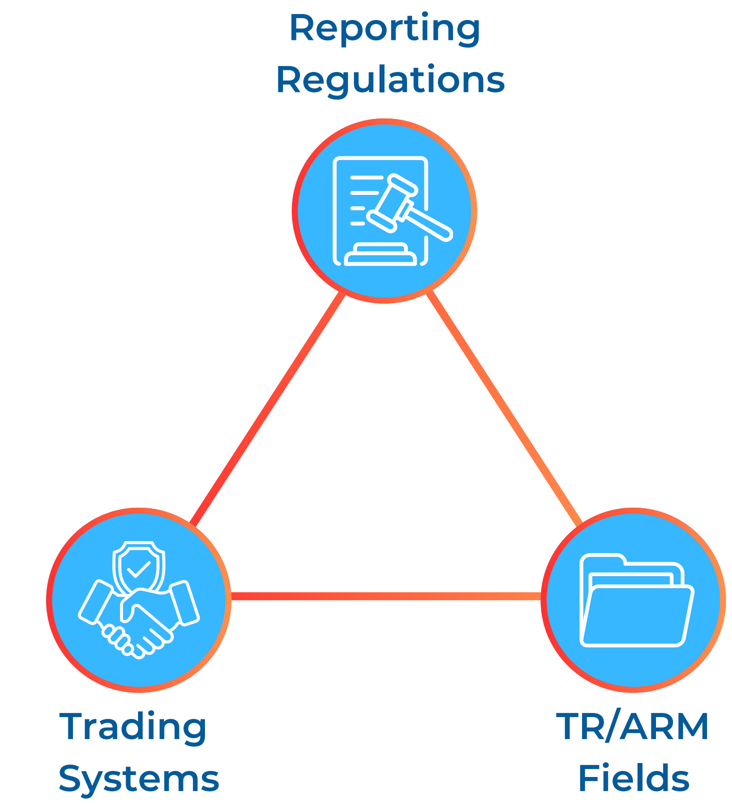
We support banks, brokers, investment managers, financial firms, and other companies subject to reporting obligations

Looking to make a transition?

Whether you are just looking to switch your reporting delegate or transition between TRs/ARMs, TRAction manages every stage of the transition effectively and without complication.

How we solve the 'Triangle of Pain'?

TRAction are masters of the art of bringing 3 dissimilar data sets together. Through the extensive knowledge of our staff of financial products, compliance/legal and IT, we alleviate the pain for our clients.



What our clients say about us

"We found that TRAction really went the extra distance to understand our business and tailor solutions to our needs."

-Anthony Brocco, Advanced Markets

"TRAction has been working flawlessly for us with a consistent, responsive and outstanding support. Thank you for the quality service and support your team has provided. It's a pleasure working with you."

-Naji El Afifi, Traders Trust

"By outsourcing to TRAction, we were able to painlessly comply with our trade reporting obligations. We highly recommend their services."

-Christopher Gore, Go Markets

Daily Processing Diagram

START

Data collection

Data collection is carried out via an API connection or by uploading a CSV file to the main folder of your ShareFile.

CSV

Received

Once data is received and checked it is processed with a personalised script.

Errors

Client is informed to confirm the large increase/decrease in volume.

LOADING ...

Processing

Once processing is done files are uploaded to the trade repository.

Support

Processing team will resolve any errors or contact you for additional information if needed.

Upload

The uploaded and confirmation files for trade submission are shared with you at the end of each day.

Checks

You can conduct necessary checks for your reporting using the handbacks.

Pricing Plans

EMIR Reporting

£720

Monthly Account Fee from

Click to view full service details

MiFIR Reporting

£720

Monthly Account Fee from

Click to view full service details

PPA Reporting

£4,000

Initial Set-Up Cost & Trade Submission

Click to view full service details

SFTR Reporting

£600

Monthly Account Fee from

Click to view full service details

ASIC Reporting Delegated

A$2,000

Monthly Account Fee from

Click to view full service details

MAS Reporting Delegated

SG$2,000

Monthly Account Fee from

Click to view full service details

Click here to view full pricing plans on our website

Contact us at enquiries@tractionfintech.com if you have any questions.

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