

CS3 Regulatory Reporting

from launch to production, fast



September 2026

Comply safer cheaper faster

Our platform allows financial institutions to slash their regulatory reporting risk and cost by working together

Our value proposition

Comply safer cheaper faster – together

Why work with REGnosys?

RISK SAFER



100%

best practice aligned



20+
Major financial
institutions
actively engaged

COST CHEAPER



> 50%

IT budget reduction



80%
Of banks' global
markets activities
covered

DELIVERY FASTER



5x

integration speed

How we deliver – hybrid model

STANDARDISE

The industry uses Rosetta to build a single expression of the reporting rules via consensus

COLLABORATE

Firms cut their implementation costs by leveraging shared industry resources

INTEGRATE

Rosetta automates the integration of that reporting logic into firms' systems

Rosetta. Collaborative platform deployed as a suite of SaaS products



Client services. Accelerating clients' go-to-production and maintenance with engineering and domain experts

Digital Regulatory Reporting

An industry-led collaboration programme to solve the challenge of meeting regulatory requirements

- Reporting firms have “digitized” (i.e. coded) the global trade reporting rules and shared that code in open source
- 20+ leading institutions participating under ISDA’s stewardship and governance, including global banks, asset managers and trade repositories
- The programme is powered by Rosetta, as the collaboration platform that firms use to develop and test the rules
- Any firm can use that output for their own reporting implementation



Built on open source

DRR leverages the Rune DSL and the FINOS Common Domain Model

ISDA

Digital Regulatory Reporting

Transforms regulatory reporting requirements into machine-executable code. DRR reduces the burden on firms to interpret rules and build reporting logic from scratch.

```
reporting rule PutAmount from TransactionReportInstruction: <"Put amount">
  [regulatoryReference ASIC table "1" dataElement "33" field "Put amount"
    provision "If FX, the amount the option gives the right to sell."]
  filter IsAllowableActionForASIC
  then filter IsFXOption(ProductForEvent)
  then cds.quantity.PutAmount
    as "1.33 Put amount"
```



A standardized, machine-readable, and machine-executable model that represents financial products, trades in those products, and the lifecycle events of those trades.

```
type OptionPayout extends PayoutBase: <"The option payout terms.">
  strike OptionStrike (0..1) <"Specifies the strike of the option.">
  underlier Underlier (1..1) <"The financial product underlying the option.">
  optionType OptionTypeEnum (0..1) <"Put/call is the default option type.">

func Qualify_AssetClass_Credit: <"Qualifies as a Credit Default product.">
  inputs: economicTerms EconomicTerms (1..1)
  output: is_product boolean (1..1)
```



A Domain-Specific Language (DSL) that supports the modelling of operational processes for the financial markets' industry.

```
type <SubType> extends <SuperType>: <"Description">
  <attributeName> <AttributeType> {x..y} <"Description">

enum <EnumerationName>: <"Description">
  <Value1> <"Description">

func MyFunc: <"Description">
  inputs: <attributeName> <AttributeType> {x..y}
  output: <attributeName> <AttributeType> {x..y}
```

Safer, Cheaper, Faster using Rosetta

Rosetta enables users to design, build, test and deploy five reporting “pipelines” to transform internal data to the trade repository

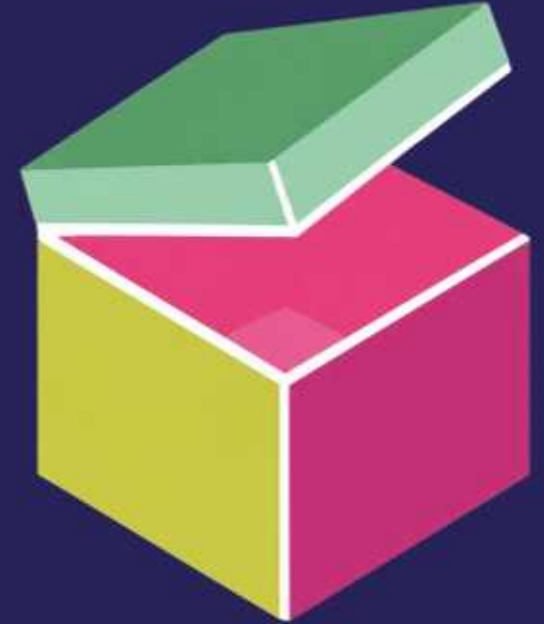


At each step, Rosetta enables collaboration on the design & testing of logic and data flow

- Define the source model, e.g. in-house or vendor inputs in FpML, CSV, XML, JSON, FIX
- Translate source model to the CDM using mapping functions
- Enrich with public and / or private data sources, e.g.
 - Missing internal information
 - Legal entity (LEI) against GLEIF
 - Product IDs (UPI) with Anna DSB
- Use the digital regulations coded in DRR to identify the reportable information
- Transform required fields from the CDM
- Execute TR validation rules on the data
- Produce Strate-native XML output ready for transmission
- Scalable to other G20 jurisdictions, using the same Ingest – Enrich – Report pipelines, if / when they're needed
- Submit ISO reports to Strate repository
- Manage responses
- Address NAKs and resubmissions
- APIs for internal integration
- User interface for team interaction

Strate-in-a-Box

REGnosys CS3 solution



Strate-in-a-Box

A simple solution to accelerate your on-premises deployment.
A “buy-to-build” solution, still open-source, no vendor lock-in.

- Combines all the run time executables required to:
 - ❑ Integrate your data
 - ❑ Build CDM objects
 - ❑ Produce DRR output
- Available as a single Docker container for local deployment in both test and production.

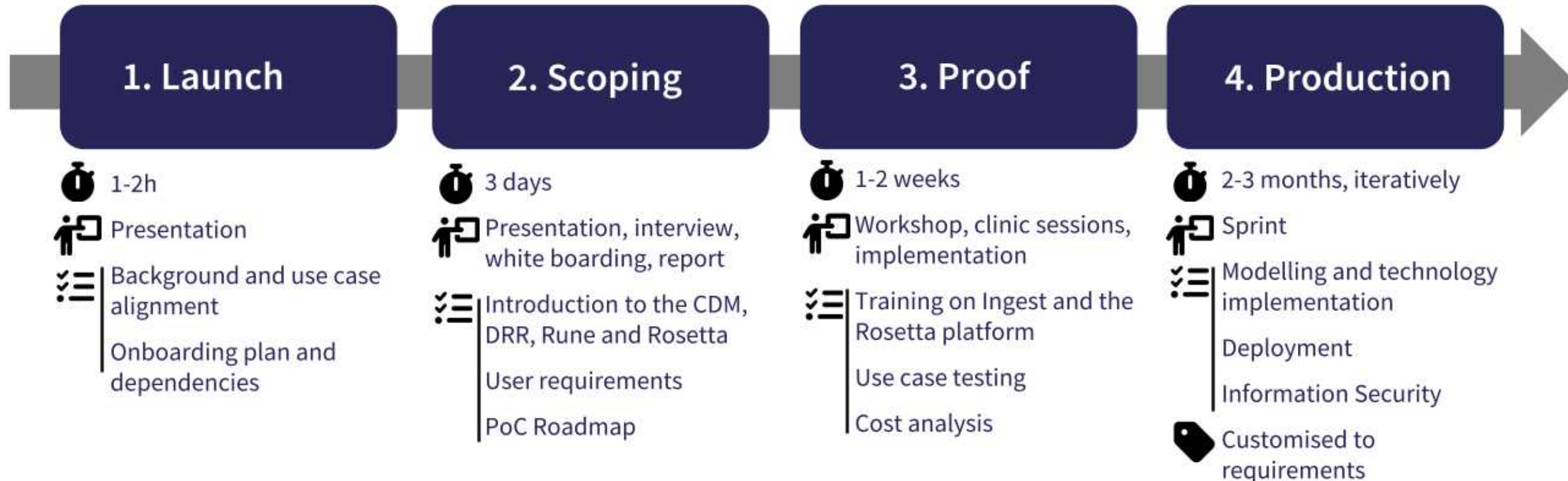


Launch to production – Fast

| Scoping our collaborative engagement
to deliver your proof of concept and
roadmap to implementation

Client onboarding service

Supporting you from discovery to production implementation



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