

**strate**≡

South Africa's Central Securities Depository

## Strate Training

[www.strate.co.za](http://www.strate.co.za)





## Overview

Strate Training is committed to educating and upgrading the standing of individuals working in the Securities industry by constantly keeping them up to date with changes to legislation, market best practices and developments. It is this commitment that has led to the continuous development of seminars, Strate e-learning and the introduction of Strate Customised Training Programmes. Our purpose is to provide accurate, up to date and continuous training on Strate specific functionality, procedures and legislation and we always encourage our market to maintain a high level of theoretical Strate knowledge.

Part of Strate's responsibility as a SRO (Self Regulating Organisation) is to provide training and accreditation to a Strate Compliance Officer ("SCO") and an Alternate Compliance Officer ("ACO") as each Strate Participant is required to have a certified Compliance Officer as well as an Alternate Compliance Officer in their organisation. Strate Training, in partnership with the South African Institute of Financial Markets (SAIFM) offers on-line examinations through the use of SAIFM examination facilities for individuals interested in becoming accredited as Strate Compliance Officers in the Equities, Bonds and / or Money Market environment.

## Fasset Accreditation

Strate Training is an Approved Training Provider by FASSET, the Sector Education and Training Authority for Finance, Accounting, Management Consulting and other Financial Services. Our accreditation number is 585/01233/10. The Approval status means Strate Training meets all of the Education Training and Quality Assurance "ETQA" requirements for accreditation, but offers non-credit bearing programmes. Therefore, no credits will be recorded on the National Learners' Record Database "NLRD" for learners who complete the Strate Modules.

## Benefits of being accredited with FASSET

The main benefit of being accredited by FASSET is quality assurance for our Clients. FASSET constantly monitors the delivery of quality assurance with respect to the courses for which providers have been accredited. Also, companies that increase their capacity by training or assessing their staff through a SETA-accredited provider are entitled to a refund on a percentage of their skills levy, as well as to grants set out in the Skills Development Act.

## Accreditation Period

The accreditation of Strate Training is effective from 15 September 2010 to 14 September 2015.

## Strate Special Purpose Fund

A Special Purpose Fund was set up by the Strate Risk and Audit Committee, to house the funds which are generated from penalties which are incurred by Participants. The purpose of the fund is to give back to the market in the form of development and upliftment of skills in the financial markets.

In line with this mandate all market players who send delegates through any Strate training will be subsidized 50% of the total cost of that training. Similarly, if any market participant who sends delegates through any Strate exams will also be subsidized 50% of that exam cost.

## Training Material

Strate currently produces three Handbooks, covering the Equities, Bonds and Money Markets environments.

## Seminars

There has been an increase in demand from companies to provide Strate training not only from a Compliance perspective but also from a need to develop skills in the operational areas. To meet these needs Strate Training offers customised training programmes which will be designed according to each client's specific requirements.

This is a more flexible training alternative which allows Strate Training to deliver a tailor made programme which will address the skills gap as identified by the client.

## The Purpose of Seminars

- Seminars give candidates the opportunity to gain an understanding of a specific Strate topic in an interactive environment as opposed to the self-study approach which is provided for in the Strate e-Learning solution explained below.
- It prepares candidates who have registered for the Strate examinations.
- It provides candidates, who have previously passed an examination module with an opportunity to participate in a refresher course on one or more of the individual components within a study module.

## Strate e-Tutor

Given the heightened demand for training interventions, Strate Training is pleased to announce that it's exciting and innovative training app Strate e-Tutor. This is in line with Strate Training's commitment to develop and uplift skills in our market. By enhancing the knowledge of South Africa's financial industry, we can remain globally competitive and ensure we profile South Africa as an investment destination of choice.

## Summary of Strate Modules

Strate Module	Description/Summary
Module 1	Introduction to and the Basics of Strate, Legal Framework, Regulation and Supervision and Compliance
Module 2	Equities Settlements, Securities Lending and Borrowing, The Role of the JSE and The Shareholders Register
Module 3	Equities Corporate Actions and Risk
Module 4	Introduction to Bonds, Bonds Settlements and Account Structures
Module 5	Bonds Corporate Actions and Risk
Module 6	The History of the Electronic MM, Types of MM Securities, Institutions in the Electronic MM and Electronic Registers
Module 7	The Legal Framework for MM, Regulation and Supervision, Compliance and Risk
Module 8	The Electronic Trade Matching Engine, Trading, Clearing and Settlement of MM Securities, Pledge and Release Pledge, Capital Events and SARB Transactions.
Equities Settlement Programme	Equities Settlements, Securities Lending and Borrowing, Corporate Actions and The Shareholders Register
Bonds Settlement Officers Programme	Introduction to Bonds, Bonds Settlements, Bonds Corporate Actions and Account Structures
Money Markets Settlement Officers Programme	The Electronic Trade Matching Engine, Trading, Clearing and Settlement of MM Securities, Pledge and Release Pledge, Capital Events and SARB Transactions.

The seminars are held at Strate's offices in Illovo, Sandton or at a venue of the Client's choice.

Please refer to the Strate website [www.strate.co.za](http://www.strate.co.za)

**Should you require any further information please contact [strate-training@strate.co.za](mailto:strate-training@strate.co.za) or Abigale du Sart: 011 759 5352 or Nokuthula Chonco: 011 759 5432.**



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