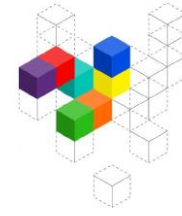




DIRECTIVE	NAME	SPECIAL GAZETTE
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SA.2	Requirements for Financial Soundness	S12-2016 – 22 November 2016
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SA.5	Provision of Bi-Annual Statements	S12-2016 – 22 November 2016
SA.6	Strate Compliance Officer Appointments	S12-2016 – 22 November 2016
SA.7	Requirements for Nominees to operate in the CSD environment	S12-2016 – 22 November 2016
SA.8	File Layout for Disclosure of Beneficial Ownership (BND) – Equities and Bonds	S11-2016 – 28 October 2016
SA.9	Segregated Depository Accounts: Opening, Administration and other Procedures	S12-2016 – 22 November 2016
SA.10	Risk Management	S14-2016 – 25 November 2016
SA.11	Claims procedure from a Cash Suspense Account in a Participant’s Insolvency Proceeding	S12-2016 – 22 November 2016
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SB.2	Procedure for Conversion of Certificated Bonds to Uncertificated Bonds and Vice Versa	S12-2016 – 22 November 2016
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SC.2	Operational Market Windows – Off-market – Equities - JSE	S14-2016 – 25 November 2016
SC.3	Special Circumstances for the Reversal of Commitments – Equities	S4-2016 – 23 June 2016
SC.4	Processing of Corporate Actions – Equities	S15-2016 – 25 November 2016
SC.5	Proxy Voting Procedure – Domestic Companies – Equities	S5-2016 – 25 July 2016
SC.6	Proxy Voting Procedure – Foreign Incorporated Companies – Equities	S5-2016 – 25 July 2016
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DIRECTIVE	NAME	SPECIAL GAZETTE
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SD.2	Operational Market Windows – Off-Market – Bonds – JSE	B1-2016 – 2 August 2016
SD.3	Processing of Capital Events – Bonds	B4-2015 – 4 June 2015
SD.4	Proxy Voting Procedure – Bonds	B1-2015 – 5 March 2015
SD.5	Operational Market Windows – Unlisted – Bond Securities	
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SE.2	Master File Administration – Money Market Securities	M5-2016 – 22 November 2016
SE.3	Operational Market Windows – Money Market Securities	M2-2016 – 21 April 2016
SE.4	Electronic Trade Reporting, Matching, Clearing, Commitment and Settlement – Money Market Securities	M5-2016 – 22 November 2016
SE.5	Processing of Capital Events – Money Market Securities	M5-2016 – 22 November 2016
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SF.2	Fines Schedule – On-market and Off-market – Equities	S4-2016 – 23 June 2016
SF.3	Fines Schedule – On-market and Off-market – Bonds – JSE	B1-2016 – 2 August 2016
SF.4	Fines Schedule – Compliance	S5-2016 – 25 July 2016
SF.5	Fines Schedule – Money Market Securities	M4-2016 – 5 May 2016
SF.6	Fines Schedule – On-Exchange and Off-Exchange - ZAR X	Z1-2017 – 24 March 2017
SF.7	Fines Schedule – On-Exchange and Off-Exchange - 4 Africa Exchange (4AX)	Y2-2017 - 24 March 2017
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SG.1	Operational Market Windows and Securities Processing – Equity Securities – ZAR X	Z1-2017 – 24 March 2017
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SH.1	Operational Market Windows and Securities Processing – Equity Securities – 4 Africa Exchange (4AX)	Y2-2017 - 24 March 2017